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PATENT

Customer No. 22,852

Attorney Docket No. 5793.3067-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James S. WATKINS et al.

Application No.: 09/892,880

Filed: June 28, 2001

For: SYSTEMS AND METHODS FOR  
ACCESSING DATA

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)  
) Group Art Unit: 2151  
)  
) Examiner: Unknown  
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Commissioner for Patents  
Washington, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER**  
**37 C.F.R. § 1.97(b) and § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56, 1.97(b) and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Further, under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement includes a certification as specified by Section 1.97(e).

Each document listed in this Information Disclosure Statement was first cited in a communication from the European Patent Office in a counterpart foreign application, and this Information Disclosure Statement is being filed within three months of the mailing date of that communication.

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Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: November 18, 2002

By: 

Timothy J. May  
Reg. No. 41,538

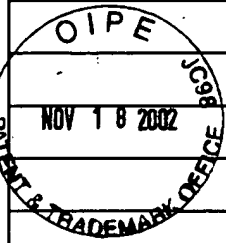
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## INFORMATION DISCLOSURE CITATION

|                                     |                        |
|-------------------------------------|------------------------|
| Atty. Docket No.: 5793.3067-00      | Serial No.: 09/892,880 |
| Applicants: James S. WATKINS et al. |                        |
| Filing Date: June 28, 2001          | Group: 2151            |

## U.S. PATENT DOCUMENTS

| Examiner Initial*  | Document Number | Issue Date | Name             | Class | Sub Class | Filing Date If Appropriate |
|--|-----------------|------------|------------------|-------|-----------|----------------------------|
|  | 5,774,660       | 6/30/98    | Brendel et al.   |       |           |                            |
|  | 6,272,491       | 8/7/2001   | Chan et al.      |       |           |                            |
|  | 6,389,448       | 5/14/2002  | Primak et al.    |       |           |                            |
|  | 6,259,705       | 7/10/2001  | Takahashi et al. |       |           |                            |
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## FOREIGN PATENT DOCUMENTS

| Document Number | Publication Date | Country | Class | Sub Class | Translation Yes or No |
|-----------------|------------------|---------|-------|-----------|-----------------------|
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|                 |                  |         |       |           |                       |
|                 |                  |         |       |           |                       |
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|---|---|
| Examiner  | Date Considered   |
| *Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |   |
| Form PTO 1449   | Patent and Trademark Office - U.S. Department of Commerce |